

Advice Providers

FEE-ONLY

ALLODIUM
INVESTMENT CONSULTANTS

ADVISOR TYPES		
ADVISOR	“HYBRID BROKER/ADVISOR”	BROKER
Advice	Advice AND/OR Sales	Sales
Relational	Relational AND/OR Transactional	Transactional
Relationship Retainer	Account Contract AND/OR Security Transactions	Security Transactions
COMPENSATION		
Fees	Fees AND/OR Commissions	Commissions
Fee-Only	Fee-Based	Commission-Based
Fee-Only Advice	Fee-Based Products AND/OR Commission-Based Products	Commission-Based Products
REGULATION AND STANDARD OF CARE		
Firms Registered with Securities and Exchange Commission (SEC)	Firms Dually Registered with the SEC AND Also Affiliated with a Broker-Dealer	Banks, Brokerage Firms and Insurance Companies Selling Investment Securities
Investment Adviser Representatives (IAR)	Investment Adviser Representatives (IAR) AND Registered Representatives (RR)	Registered Representatives (RR)
Website Disclosure: “SEC-Registered Investment Adviser...”	Website Disclosure: “SEC-Registered Investment Advisor...” AND “Securities Offered by...”	Website Disclosure: “Securities Offered by...”
Fiduciary Standard	Fiduciary Standard AND/OR Suitability Standard	Suitability Standard

© 2024 Alloodium Investment Consultants

The information provided is for educational purposes only and is not intended to be, and should not be construed as, investment, legal or tax advice. Alloodium makes no warranties with regard to the information or results obtained by its use and disclaim any liability arising out of your use of or reliance on the information. The information is subject to change and, although based upon information that Alloodium considers reliable, is not guaranteed as to accuracy or completeness. Past performance is not a guarantee or a predictor of future results of either the indices or any particular investment.